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11 UNITED STATES DISTRICT COURT
12 NORTHERN DISTRICT OF CALIFORNIA
13 SAN FRANCISCO DIVISION

14 SECURITIES AND EXCHANGE COMMISSION,

15 Plaintiff,

16 v.

17 JOHN V. BIVONA; SADDLE RIVER
18 ADVISORS, LLC; SRA MANAGEMENT
ASSOCIATES, LLC; FRANK GREGORY
19 MAZZOLA,

20 Defendants, and

21 SRA I LLC; SRA II LLC; SRA III LLC;
22 FELIX INVESTMENTS, LLC; MICHELE
J. MAZZOLA; ANNE BIVONA; CLEAR
23 SAILING GROUP IV LLC; CLEAR
SAILING GROUP V LLC,

24 Relief Defendants.
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Case No. 3:16-cv-01386-EMC

CERTIFICATE OF SERVICE

Date: November 16, 2017
Time: 9:30 a.m.
Courtroom: 5
Judge: Edward M. Chen

CERTIFICATE OF SERVICE

I, Eric Pease, am a citizen of the United States, over 18 years of age, and am not a party in this action. I hereby certify that on November 9, 2017, I caused the following documents:

SUPPLEMENTAL JOINT STATUS REPORT

PLAINTIFF SECURITIES AND EXCHANGE COMMISSION'S REQUEST AND PROPOSED ORDER TO ALLOW TELEPHONIC APPEARANCE OF COUNSEL FROM THE NEW YORK REGIONAL OFFICE

To be served via UPS marked for next day delivery on the following:

Frank Gregory Mazzola
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Eric Pease
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Division of Enforcement

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